












Internal Audit Performance Report 2013/14 Quarter 1





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Audit File	Audit File Progress	Audit Assurance Type	Audit File Overall Opinion	Audit Assurance Level
1314.AE04 Disabled Facilities Grants		Risk based review		
1314.STTC02 Legal Compliance				
1314.STTC05 Committee Decisions & Reporting				
1314.HH05IR Private Sector Empty Homes		Implementation review	The initial audit opinion was that reasonable assurance can be given that the system, process or activity should achieve its	

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			<p>objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.</p> <p>The revised audit opinion on completion of the implementation review is that substantial assurance can now be given</p>	
1314.TCP03IR PR & Communications		Implementation Review	<p>The initial audit opinion was that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.</p> <p>On completion of the implementation review, the opinion on the assurance level remains the same</p>	
1314.AE03 Commercial/Industrial Properties		System based review		
1314.CPP06IR Community Development		Implementation Review	The initial opinion was that substantial assurance can be given that the system, process or activity should achieve its	

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			<p>objectives safely and effectively and that controls are in place and operating satisfactorily.</p> <p>On completion of the implementation review, the opinion on the assurance level remains the same</p>	
1314.STTC06 RIPA		System based review	It is with some concern that Audit have to report only limited assurance can be given that the system, process or activity will achieve its objectives safely and effectively as controls are in place but operating poorly, or controls in place are inadequate.	
1314.AE06IR CCTV		Risk based review	Audit are pleased to be able to report reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	
1314.STTC01 Standards and Declarations		System based review	It is with some concern that Audit have to report only limited assurance can be given that the system, process or activity will achieve its objectives safely and	

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			effectively as controls are in place but operating poorly, or controls in place are inadequate.	
1314.AE08IR Car Park Income		Implementation Review	<p>The initial audit opinion was that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.</p> <p>On completion of the implementation review, the opinion on the assurance level remains the same</p>	
1314.CPP03IR Community Safety Hub		Implementation Review	<p>The initial audit opinion was that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.</p> <p>On completion of the implementation review, the</p>	

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			opinion on the assurance level remains the same	
1314.CPP05IR Community Leisure		Risk based review		
1314.TCP04IR Tourist Information Centre		Implementation Review	The initial audit opinion was that reasonable assurance can be given that the system, process or activity should achieve its objectives safely and effectively however there are some control weaknesses but most key controls are in place and operating effectively.	
			Due to the implementation of the recommendations, the audit opinion is now that substantial assurance can be given that the system, process or activity should achieve its objectives safely and effectively and that controls are in place and operating satisfactorily.	
1314.FIN02.4 Treasury Management Qtr 4 1213		Main financial system – interim	Audit are pleased to be able to report substantial assurance can be given that the system, process or activity should achieve its objectives safely and effectively and that controls are in place and operating satisfactorily.	
1314.HH07 Regeneration &		Additional Risk Based Review		

Audit File	Audit File Progress	Audit Assurance Type	Audit File Overall Opinion	Audit Assurance Level
Affordable Housing				
1314.HH07 Housing Advice		Additional Risk Based Review		
1314.HH08 Housing Strategy		Additional Risk Based Review		
1314.HH09 Energy Efficiency		Additional Risk Based Review		
1314.HH10 Housing Enforcement		Additional Risk Based Review		
1314.FIN13 SCC Pension Contributions		Transactional		